

**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that this paper is being facsimile transmitted to the Office of Initial Patent Examination's Filing Receipt Corrections at (571) 2' 3-8300 on the date shown below.

Audrey Boyd

Audrey Boyd

Signature

JUN 05, 2006

Date

RECEIVED  
CENTRAL FAX CENTER  
JUN 05 2006

Attorney Docket No.: PU60173

No. of Pages: 4

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Conde et al.  
Serial No.: 10/551,710  
Filed: October 3, 2005  
For: PROCESS AND INTERMEDIATES FOR PREPARING BENZAZEPINES  
  
Customer No. 20462

**REQUEST FOR CORRECTED FILING RECEIPT**

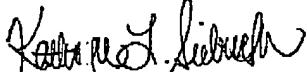
Commissioner for Patents:

Attached herewith is a copy of the Filing Receipt issued by the United States Patent and Trademark Office with changes noted thereon.

Applicants respectfully request that the United States Patent and Trademark Office correct the noted errors and issue an amended Filing Receipt.

These errors appear to be on the part of the United States Patent and Trademark Office since they are not present in the applicant's file copy of the application.

Respectfully submitted,



Katharine L. Sieburth  
Attorney for Applicants  
Registration No. 40,072

GLAXOSMITHKLINE  
Corporate Intellectual Property - UW2220  
P.O. Box 1539  
King of Prussia, PA 19406-0939  
Phone (610) 270-5012  
Facsimile (610) 270-5090  
N:\kls\cases\pu60173\Request for Corrected Filing Receipt.doc



## UNITED STATES PATENT AND TRADEMARK OFFICE

SEARCHED  
SERIALIZED  
INDEXED  
FILED  
JUN 10 2006  
RECEIVED  
U.S. PATENT & TRADEMARK OFFICE  
FOR SMITHKLINE BEECHAM CORP

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	1001 MAY 30 2006	ATTY/OCKET NO: 3	DRAWNGS	TOT CLMS	IND CLMS
10/551,710	10/03/2005	1624	500		FU60173		20	4

CONFIRMATION NO. 3880

20462  
SMITHKLINE BEECHAM CORPORATION  
CORPORATE INTELLECTUAL PROPERTY-US, UW2220  
P. O. BOX 1539  
KING OF PRUSSIA, PA 19406-0939

## FILING RECEIPT



\*OC000000018893178\*

Date Mailed: 05/22/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jose J. Conde, King of Prussia, PA;  
Lewilynn L. Goldfinger, King of Prussia, PA; *Collegeville, PA*  
Michael A. McGuire, King of Prussia, PA;  
Susan C. Shilcrat, King of Prussia, PA;  
Michael D. Wallace, King of Prussia, PA;  
Marvin Sungwhan Yu, King of Prussia, PA; *Pittsburgh, PA*

**Power of Attorney:** The patent practitioners associated with Customer Number 20462.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US04/09909 03/29/2004  
which claims benefit of 60/460,535 04/04/2003  
and claims benefit of 60/546,212 02/20/2004

## Foreign Applications

## Acceptable Request to Retrieve Priority Application Received?

**Projected Publication Date:** 08/31/2006

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Process and intermediates for preparing benzazepines

**Preliminary Class**

514

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and **does not eliminate** the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184. If the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

BEST AVAILABLE COPY

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

RECEIVED  
AVAIL. COPY